

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 37945-0068

Applicant(s)

Dirk ESSER et al.

Confirmation No.: 5544

Appl. No.:

10/526,808

Group Art Unit: 1645

Filing Date:

March 4, 2005

Examiner: Unassigned

Title:

MODIFIED THERAPEUTIC AGENTS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56 and 37 CFR §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08A is a listing of documents known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. §1.56 and §1.97. A copy of each of the listed documents are being submitted to comply with the provisions of 37 C.F.R. §1.97-1.99.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

Applicants believe that the instant Information Disclosure Statement is being filed before the mailing of a first Office Action on the merits under 37 CFR §1.97(b)(3), therefore, no fee is required in connection with its filing.

U.S. Serial No. 10/526,808

English translations of the foreign-language documents may not be readily available; however, the absence of such translations does not relieve the PTO from its duty to consider the submitted documents (37 CFR §1.98 and MPEP §609).

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with M.P.E.P. §609.

Respectfully submitted,

<u>June 8, 2005</u> Date

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Rec' CT/PTO 08 JUN 2005

| Sheet 1 of | | | | | | | | | | |
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| Form PTO/SB/08 | | | | ATTY DOCKET NO. SERIAL NO. 10/526,808 | | | | | | |
| | | | | APPLICANT(S) Dirk ESSER et al. | | | | | | |
| | | | | FILING DATE March 4, 2005 | | | GROUP 1645 | | | |
| | | | | S. PATENT DOCUMENTS | <u> </u> | | <u> </u> | | | |
| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE (M/D/Y) | NAME | CL/ | ASS | SUBCLASS | FILING DATE IF APPROPRIATE | | |
| | A01 | 5,169,933 | 12/8/92 | Anderson et al. | 530 | | 391.3 | | | |
| | A02 | 6,005,004 | 12/21/99 | Katz et al. | 514 | | 549 | | | |
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| | İ | DOCUMENT NUMBER | DATE (M/D/Y) | COUNTRY | CL | ASS | SUBCLASS | | SLATION | |
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| | A05 | WO00/16807 | 3/30/00 | WIPO | | | | | | |
| | A06 | WO02/36612 | 5/10/02 | WIPO | | | | | | |
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| | A07 | Bader et al. Epand | | Nature 407: 223-226 (2000) Biopolmers 43(1): 15-24 (1997) | | | | | | |
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.